



Financial instruments under the scope of CaixaBank Best Execution Policy

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Responsible area at CaixaBank	Dirección Financiera

LIST OF FINANCIAL INSTRUMENTS UNDER THE SCOPE OF CAIXABANK BEST EXECUTION POLICY.

Definition of each type of instrument according to Annex I of Delegated Regulation (UE) 2017/576.

Financial instruments	Execution service	Brokerage service	OTC Executions
Equities, shares and depositary receipts			
Equity	✓	✓	
ETFs	✓	✓	
Debt instruments			
Bonds	✓		✓
Money market instruments	✓	✓	
Interest rate derivatives			
Futures and options admitted to trading on a trading venue	✓		
Swaps, forwards and other interest rate derivatives			✓
Credit derivatives			
Futures and options admitted to trading on a trading venue		-	
Other credit derivatives			✓
Currency derivatives			
Futures and options admitted to trading on a trading venue		✓	
Swaps, forwards and other currency derivatives			✓
Structured finance			
Structured finance instruments	✓		✓
Equity derivatives			
Options and futures admitted to trading on a trading venue	✓	✓	
Swaps and other equity derivatives			✓
Securitized derivatives			
Warrants and certificate derivatives	✓		
Other securitized derivatives		-	
Commodities derivatives and emission allowance derivatives			
Options and futures admitted to trading on a trading venue		✓	
Other commodities derivatives and emission allowance derivatives			✓

Financial instruments	Execution service	Brokerage service	OTC Executions
Contracts for difference		-	
Exchange traded products (exchange traded funds, exchanged traded notes and exchanged traded commodities)		-	
Emission allowance			✓
Other instruments		-	

CAIXABANK, S.A.